



2663  
#6  
S-D  
29-03-03

|   |                      |                        |
|---|----------------------|------------------------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) | Application Number   | 09/997,537             |
|   | Filing Date          | November 29, 2001      |
|   | First Named Inventor | Hidetoshi Takeda       |
|   | Art Unit             | 2663                   |
|   | Examiner Name        | Technology Center 2600 |
| Total Number of Pages in This Submission 56   | Attorney Docket No.  | MAT-5340US3            |

| ENCLOSURES (Check all that apply)   |  |   |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/Declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input checked="" type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts under<br>37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation,<br>Change of Correspondence<br>Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication<br>to Group<br><br><input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply<br>Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s) (please<br>identify below):<br>Return Postcard |
| <b>Remarks:</b>   |  |   |

| SIGNATURE OF APPLICANT, ATTORNEY OR AGENT |                    |                                   |
|---|--------------------|-----------------------------------|
| Firm or Individual                        | Lawrence E. Ashery | Registration No. (Attorney/Agent) |
| Signature                                 |                    |                                   |
| Date                                      | August 7, 2003     | 34,515                            |

| CERTIFICATE OF TRANSMISSION / MAILING  |                    |      |                |
|--|--------------------|------|----------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: |                    |      |                |
|  |                    |      | August 7, 2003 |
| Name (Print/Type)  | Lawrence E. Ashery |      |                |
| Signature  |                    | Date | August 7, 2003 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.  
If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



MAT-5340US3

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**RECEIVED**

AUG 13 2003

Technology Center 2600

Appln. No: 09/997,537  
Applicant: Hidetoshi Takeda et al.  
Filed: November 29, 2001  
Title: DATA TRANSMITTING APPARATUS, DATA RECEIVING APPARATUS AND  
DATA TRANSMISSION CONTROL APPARATUS  
TC/A.U.: 2663  
Examiner:  
Confirmation No.: 7626  
Docket No.: MAT-5340US3

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed before the receipt of a first Official Action and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

RatnerPrestia

  
Lawrence E. Ashery, Reg. No. 34,815  
Attorney for Applicants

LEA/kc

Enclosures: PTO Form 1449 (2)  
(1) Reference  
Search Report  
Transmittal Form

Dated: August 7, 2003

Suite 301  
One Westlakes, Berwyn  
P. O. Box 980  
Valley Forge, PA 19482-0980  
(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on:

August 7, 2003  
